# Inactivation of Pathogenic Microorganisms in Food by High Pressure Processing

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# Inactivation of Pathogenic Microorganisms in Foods by High-Pressure Processing

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#### 10.1 Introduction

Foodborne outbreaks continue to be reported presently around the world, and more than 250 different foodborne diseases have been described (CDC 2015a). Pathogenic bacteria are the main cause of the reported problems, although viruses and parasites have also been associated with the foodborne outbreaks. Pathogens are a problem in low-acid foods (pH > 4.6). It is known that the acidity of high-acid foods (pH < 4.6) inhibits the germination and growth of microbial spores and the growth of vegetative pathogens. Therefore, low-acid pasteurized foods are generally stored and distributed

under refrigeration (Silva and Gibbs 2009). For pasteurized foods, at least a 5-6 log reduction (Betts and Gaze 1992; FDA 2001) in the key pathogen or spoilage organism is recommended. Thermal processing is the primary method used by the food industry to achieve this reduction. However, the heat can alter natural flavors and nutrients in the foods. Researchers and industry are developing and applying alternative methods for food processing with less impact on its sensory properties.

High-pressure processing (HPP) has emerged as an attractive pasteurization technology for food preservation. HPP is able to inactivate pathogenic and spoilage microorganisms in foods, while retaining their fresh or just prepared appearance, organoleptic characteristics, and nutritional quality. Guacamole (avocado with spices) is one example of successful HPP-treated food. A growing number of foods in the food market are HPP treated. The combination of HPP with heat, referred to as HPP-thermal or high-pressure thermal processing (HPTP), is possible when more severe process conditions are needed. This chapter reviews the updated knowledge dealing with the effects of HPP and HPTP on pathogenic microorganisms in foods, includ-ing spores and vegetative cells, and kinetic models to describe microbial inactivation behavior. Future perspectives of HPP and HPTP foods are also discussed.

## 10.2 Microbial Pathogens Contaminating Foods

#### 10.2.1 Microbial Spores and Vegetative Cells

Microbial cells can exist in different states, vegetative and spores, depending on the conditions present. These states of microbes exhibit differences in their chemical composition, morphological structure, and physiology (Keynan 1969). Vegetative cells are actively growing, metabolizing, and dividing. Some microbial species can produce spores, the resting structures, which are formed from the vegetative cells. Bacterial spores (endospores, created inside the parent vegetative cell) are resistant structures able to survive under environmental stresses such as nutrient deprivation. Under favorable conditions (e.g., water, nutrients, and germinants), the spore breaks its dormancy through germination and outgrowth (initiating vegetative growth). Bacterial spores are well known for their resistance to various agents and stresses, such as radiation, high temperature, freezing, pressure, desiccation, extreme pH, and a wide variety of toxic chemicals (Tournas 1994; Setlow 2006; Black et al. 2007; Reineke et al. 2013), and thus are frequently used as targets in pasteurization processes. The resistance of spores is considered to be due to substantial structural specialization developed within a mother cell (Moir and Smith 1990).

#### 10.2.2 Pathogenic Sporeformers Relevant for Food Safety

The outgrowth of pathogens in foods can cause contaminations, food spoilage, foodborne illnesses, and outbreaks. The main pathogenic sporeform- ers in foods are Clostridium botulinum, Clostridium perfringens, and Bacillus cereus. C. botulinum is the most dangerous sporeformer (Carlin et al. 2000a); it can produce potent and fatal neurotoxins (Brown 2000). C. botulinum types A, B, E, and F have been implicated in human foodborne botulism (FDA 2015a), and incidents from ingestion of the following contaminated foods have been reported (Lindström et al. 2006): hot-smoked fish (Pace et al. 1967), canned tuna fish in oil (Mongiardo et al. 1985), canned truffle cream or canned asparagus (Therre 1999), pasteurized vegetables in oil (Aureli et al. 1999), canned fish (Przybylska 2003), and canned eggplant (Peredkov 2004). Diagnosis of human botulism is usually from clinical symptoms (gastrointestinal and neurological) (Wells and Wilkins 1996), coupled with the detection of toxin in the patient's serum and/or feces as a standard method (Kautter and Solomon 1977).

C. perfringens has been identified as the most common cause of food outbreaks in ready-to-eat and partially cooked meat and poultry products as a result of improper handling and preparation of large quantities of foods (Evelyn and Silva 2015a, 2016a; Silva and Gibbs 2009), with type A toxin usually being involved in food poisoning (Scallan et al. 2011). In the United States, it causes nearly 1 million cases of foodborne illness each year (CDC 2015b), and it is ranked as an important cause of foodborne illness in the United Kingdom (Tam et al. 2012) and in several countries (Grass et al. 2013). An outbreak associated with vegetables (spinach and fried bean curd dish) has also been reported with this species (Miwa et al. 1999). Diarrhea, severe abdominal cramps, and nausea are the most common symptoms reported after 8-24 h consumption of C. perfringens type A enterotoxin (cpe) in contaminated foods (Uzal et al. 2014). Based on the symptoms, detection for the illness involving C. perfringens (and generally Clostridia species) is usually a combination of isolation of Clostridia from feces, blood, or the wound, and toxir and serological assays (Berry et al. 1988).

B. cereus is another sporeforming and pathogenic bacterium commonly found in meat and in dishes containing meat, resulting in food poisoning, similar in many respects to C. perfringens. Diarrhea and emetic syndromes are two types of diseases caused by B. cereus (Schoeni and Lee Wong 2005). Rice, cereals, and spices are also food commodities often associated with B. cereus. Some strains have the ability to grow at low temperatures (T < 8°C) (Dufrenne et al. 1995; Garcia Armesto and Sutherland 1997; Choma et al. 2000), making them sporeformers frequently isolated from low-acid chilled foods (Silva et al. 2014; Silva and Gibbs 2010; Cartin et al. 2000b; Dufrenne et al. 1995). Bacillus licheniformis is another Bacillus species often contaminating dairy products. Foodborne outbreaks have been registered in cooked meats and vegetables, raw milk, and commercial baby foods (Salkinoja-Salonen

et al. 1999). Bacillus pumilus human infection is rare, although a few cases of food poisoning from rice were reported. The symptoms include dizziness, headache, chills, back pain, stomach cramps, and diarrhea (From et al. 2007). One of several methods used for the diagnosis of human illness caused by B. cereus and other Bacillus spp. is the isolation of B. cereus from suspect food and determining its enterotoxigenicity by serological (diarrheal toxin) or biological (diarrheal and emetic) tests (FDA 2014).

Other than the main pathogenic sporeformers mentioned above, the following bacteria can also cause diseases in humans after consumption of contaminated foods: Clostridium baratii (infant botulism), Clostridium butyricum (infant botulism), Clostridium difficile (diarrhea to fulminant colitis), Bacillus thuringiensis (gastroerieritis), and Bacillus anthracis (gastrointestinal illness and diarrhea) (Aureli et al. 1986; Jackson et al. 1995; Barash et al. 2005; Pavic et al. 2005; Rupnik and Songer 2010; CDC 2000).

# 10.2.3 Vegetative Pathogens Relevant for Food Safety

Staphylococcus aureus, enterohemorrhagic Eschericia coli O157:H7, Listeria monocytogenes, Salmonella spp., and Vibrio spp. are vegetative pathogens important to food safety. The toxins of these enteric pathogens (diarrheal caused syndrome) can be transmitted via a wide range of foods, causing outbreaks of illness following consumption. Food poisoning outbreaks in milk-based products caused by S. aureus enterotoxins have been frequently reported due to ingestion of foods containing staphylococcal enterotoxins (SEs) (Evenson et al. 1918; Asao et al. 2003; Schmid et al. 2009; Ostyn et al. 2010; Hennekinne et al. 2012). Commonly described symptoms of S. aureus foodborne infection other than diarrhea are nausea, vomiting, abdominal cramping, dizziness, and sometimes moderate fever (Hennekinne et al. 2012). Diagnosis of staphylococcal food posoning is generally confirmed by either recovery of S. aureus (≥105/g food) or by the detection of SEs in food remnants (Hennekinne et al. 2012).

Outbreaks of E. coli O157:H7 have been associated with a wide range of foods, including beef, vegetables (e.g., lettuce, spinach, and sprouts), raw milk (CDC 2014; Armstrong et al. 1996), and very recently, flour (CDC 2016a). The ability of this strain to survive and grow at very acidic conditions and low temperature (Weagant et al. 1994; Conner and Kotrola 1995; Hsin-Yi and Chou 2001) may explain the occurrence of outbreaks in the following high-acid foods: fruit juices (CDC 1996; Cody et al. 1999), apple cider (Miller and Kaspar 1994), mayonnaise (Weagant et al. 1994), mustard and ketchup (Tsai and Ingham 1997), and yogurt (Morgan et al. 1993). Commonly described symptoms of E. coli O157:H7 food infection include diarrhea and abdomi-nal cramping, which in some cases progresses to bloody diarrhea (Ibrahim 2015). Human illness is usually diagnosed through laboratory testing of stool specimens (feces) (CDC 2015c).

Listeria monocytogenes infection (listeriosis) has been a great concern until now due to its capability to cause severe illness with a high morbid-ity, hospitalization, and mortality rate in vulnerable populations, such as pregnant women and the elderly (Henriques and Fraqueza 2015). Flu-like or gastrointestinal illnesses, miscarriage, stillbirth, septicemia, meningitis, and encephalitis are symptoms detected for listeriosis-infected persons (Gillespie et al. 2010). Disease can be confirmed by isolation of the bacteria from blood, spinal fluid, or amniotic fluid or the placenta (for pregnant women) (CDC 2014). For the years 2014–2016, multistate outbreaks caused by L. monocytogenes were recorded in the United States, linked to foodstuffs such as packaged caramel apples and salads, cheese, raw milk, and frozen vegetables (CDC 2016b).

Salmonellosis, especially from Salmonella enteritidis and Salmonella typhimurium, is the most frequently reported foodborne disease worldwide, and outbreaks have been associated with a diverse range of food vehicles. Food from animal origins, that is, eggs, meat, poultry, and milk, are the main food commodities reported (CDC 1990; Perales and Audicana 1989), although an increasing number of outbreaks was reported in contaminated green vegetables (Doyle and Erickson 2008; Hanning et al. 2009; WHO 2013). The most common clinical symptoms are diarrhea and abdominal cramps; however, illness may be accompanied by a fever of 38°C-39°C (Giannella 1996). Stool, blood, urine, and sometimes tissues can be used for the diagnosis of Salmonella bacteria (Behravesh et al. 2008).

Vibrio parahaemolyticus and Vibrio vulnificus are two major foodborne bacterial pathogens of great concern in raw foods such as oysters, sushi, and sashimi, or undercooked seafood, since they are naturally distributed in water (Jay 2000). Large outbreaks of V. parahaemolyticus (O3:K6 serotype) occurred during 1997–1998 in Washington, Texas, and New York, and on the West Coast of the United States (Daniels et al. 2000; DePaola et al. 2000), whereas an outbreak of V. vulnificus was reported occur in coastal states from the Gulf of Mexico region (Shapiro et al. 1998). It is estimated that there are 35,000 foodborne infections caused by V. parahaemolyticus in the United States annually, while V. vulnificus is reported to have the highest mortality rate (up to 50%) among other foodborne pathogens (Scallan et al. 2011).

Other pathogenic vegetative bacteria, such as Streptococcus faecalis, Campylobacter jejuni, Yersinia enterocolitica, Citrobacter freundii, and Aeromonas hydrophila, also pose a health risk due to their association with meat products, and outbreaks are sometimes reported (Deming et al. 1987; Hussain et al. 1988; Tsai and Chen 1996; Gaibani et al. 2013; Grahek-Ogden et al. 2007). Among vegetative pathogens described previously, L. monocytogenes, Y. enterocolitica, Salmonella, V. parahaemolyticus, and A. hydrophila are of great concern because they are able to grow at refrigerated or low temperatures (D'Aoust 1991; Penfield et al. 1990), and thus can be a problem in HPP chilled foods.

#### 10.3 HPP and HPTP of Foods

#### 10.3.1 HPP and HPTP Fundamentals and Effect on Microbes

HPP is a commercial nonthermal food pasteurization technology with less adverse effects on food quality than conventional thermal processes (Cullen et al. 2012). Industrial HPP typically operates at pressures of 400-600 MPa to process liquid and solid foods between 5 and 10 min either chilled or at temperatures of ≤40°C. According to Le Chatelier's principle, hydrostatic pressure reduces the volume of the pressurized material without chang- ing its shape. Covalent bonds from primary structures of proteins are unaltered by pressure (Mozhaev et al. 1994), making this the central hypoth-esis behind the preservation of biological activity of functional compounds (Balasubramaniam et al. 2015). The main goal of HPP is the nonthermal pasteurization of foods through the inactivation of pathogenic and spoilage vegetative microorganisms, usually by 5 or 6 D. After processing, the foods are usually cold stored and distributed, and the shelf life is influenced by the intensity of the treatments, storage conditions, and other factors, such as packaging characteristics. The common shelf life of HPP-treated foods is 3-10 times that of untreated HPP foods (Hiperbaric 2013). Fruit juices and smoothies, fruit jams and sauces, yogurt, jelly, guacamole, dips and salsas, ready-to-eat meals, meat and poultry products, and seafood are examples of commercially available HPP food products worldwide, with manufacturers located in Japan, the United States, and Europe (Hogan et al. 2005).

Room temperature HPP standard treatment does not inactivate most microbial spores and enzymes. For example, spores of B. subtilis species were found to survive up to 1200 MPa at ambient temperature (Larson et al. 1918). Thus, as mentioned, HPP foods require a cold chain for distribution. While high-acid fruit products (pH < 4.6) do not allow the germination and growth of pathogenic and spoilage sporeformers (Silva and Gibbs 2009; Silva et al. 2014), low-acid foods (pH ≥ 4.6), such as meat products, fish, vegetables, milk, and cheeses, pose significant health hazards associated with pathogenic sporeforming bacteria and need to be stored and distributed below 7°C. In addition to cold storage conditions, pressures between 400 and 800 MPa, combined with temperatures higher than 50°C, appear to have potential to reduce spore numbers in foods. However, the resistance of spores varies highly among species, and is also affected by the food matrix. A combination of HPP with moderate heat or HPTP is inevitably needed to inactivate these resistant spores, and past works have shown that HPTP pressurgand temperature synergistically enhance the spore inactivation (Akhtar et al. 2009; Silva et al. 2012; Daryaei et al. 2013; Evelyn and Silva 2015b,c, 2016a,b; Evelyn et al. 2016). Until now, considerable efforts have been made for full HPTP inactivation of microbial spores, to produce shelf-stable sterilized food products with high quality. However, the HPTP sterilization technology has not

yet been successfully demonstrated; thus, the technology is used industrially for food pasteurization and the production of refrigerated but not yet shelf-stable foods.

#### 10.3.2 Mechanism Inactivation of Spores and Vegetative Cells

Many researchers have thoroughly investigated and reported the mechanism of vegetative and spore cell inactivation by high (Mathys 2008; Smelt et al. 2001; Lado and Yousef 2002; Patterson 2005; Knorr et al. 2010; Black et al. 2007; Reineke et al. 2013). Generally, microbial cell death occurs when there are considerable alterations in the cellular structure or physiological functions of microorganisms after their exposure to pressure (and heat). Regarding vegetative microorganisms, various HPP inactivation mechanisms suggested that result in cell death are the inactivation of essential enzymes (Smelt et al. 2001; Ardia 2004), changes in intracellular pH (Smelt et al. 2001), disintegration of ribosomes in their subunits (Smelt et al. 2001), and rupture of the cell membrane due to membrane phase transition and duidity changes (Smelt et al. 2001; Ananta et al. 2005), leading to morphological changes in HPP- treated cells (Patterson 2005).

With respect to spores, two step processes have been widely accepted for the mechanism of spore inactivation, which are mostly based on mechanism inactivation of Bacillus spores in the buffer: (1) release of dipicolinic acid (DPA) during germination (direct or through activation of nutrient germinant receptors) due to disruption to the spore inner membrane, causing a loss of spore resistance, and (2) subsequent inactivation by pressure and heat as vegetative cells (Black et al. 2007; Heinz and Knorr 2001; Mathys et al. 2009; Reineke et al. 2013). Spore germination and inactivation pathways depend on the pressure-temperature combinations (Reineke et al. 2013; Mathys et al. 2009); however, more research is needed to elucidatethe mechanisms of the spore inactivation in food products. Various tools, such as flow cytometry, fluorescence anisotropy measurement, and electron microscope, have been used to observe cell membrane damage and morphological changes of spores and vegetative cells (Mathys et al. 2007; Abe 2013; Ananta et al. 2005).

#### 10.3.3 Kinetic Models for HPP and HPTP Microbial Inactivation

Mathematical models allow many researchers to describe, predict, and optimize microbial behavior in foods after exposure to certain lethal treatment to ensure the microbiological quality and safety of food products. According to Whiting (1995), microbial modeling in foods can be classified as primary, secondary, and tertiary. The use of basic models (the primary followed by the secondary models) has been frequently reported after HPP and HPTP treatments in foods, as they are the most straightforward methods for the users (FDA 2015b).

#### 10.3.3.1 Primary Models

Primary models aim to describe microorganisms' response as a function of time under specific conditions, in which the main goal is to estimate kinetic parameters such as the inactivation rate. Linear, concave, or sigmoidal trends are frequently observed in HPP and HPTP treatments based on the shape of their survival curves. Two common primary models reported to describe microbial log survivors in foods after HPP and HPTP are the simple first-order kinetic (Bigelow) and Weibull models.

The simple first-order kinetic model was established to define safe thermal processes for canned foods and it has also been successfully applied to other food processes, in which a plot of the logarithm of the surviving fraction against time yields a straight line as a constant intensity of heat or a lethal factor is applied. With respect to HPP and HPTP, the main kinetic parameter of the model is decimal reduction time, or the  $D_{P,T}$  value, which is the time in minutes at a certain pressure and/or temperature necessary to reduce the microbial population by 90% (and is calculated from the reciprocal of the slope of Equation 10.1):

$$\log \frac{N}{N_0} = \frac{t}{D_{PT}} \tag{10.1}$$

where  $N_0$  is the initial or untreated cell population in food (cfu/g), and N is the number of survivors after being exposed to HPP or HPTP treatment for a specific time t (min).

The Weibull model (Equation 10.2), perhaps the most prominent nonlinear model used for pressure treatments, is based on the principle of heterogeneity in the resistance distributed among individual cells within a population (vitalistic approach) (Pin and Baranyi 2006).

$$\log \frac{N}{N_0} = -bt^n \tag{10.2}$$

Two parameters obtained from this primary model are b, the scale factor, and n, the survival curve shape factor. b is a rate parameter that is related to the velocity of the inactivation of the microorganism. n describes the degree of curvilinearity, where n < 1 and n > 1 correspond to concave-upwards (tailings) and concave-downwards (shoulders) survival curves, respectively. When n=1, the Weibull model becomes the simple first-order kinetics.

The nonlinear models are often more appropriate than first-order kinetics for HPP microbial inactivation. However, due to their complexity and more difficult application, they are not commonly used by microbiologists to fit log microbial survivor data.

#### 10.3.3.2 Secondary Models

The secondary model is an extension of the primary model, in which the parameters of the primary model (e.g., D value and inactivation rate k) are related to the environmental variables/or conditions, such as pressure or temperature. In the area of inactivation and with respect to first-order linear kinetics, Bigelow uses the  $z_T$  value (°C) to model the inactivation rate dependence on the applied temperature. The  $z_T$  value (°C), or the temperature coefficient, is the temperature increase for constant pressure, which results in a 10-fold decrease in the D value. This is estimated from the negative reciprocal of the slope of Equation 10.3:

$$\log \left(\frac{D}{D_{Tref}}\right) = \frac{T_{ref} - T}{2T}$$
(10.3)

where  $D_{Twi}$  is the D value at the reference temperature  $T_{rei}$  (can be any reference temperature,  ${}^{\circ}C$ ), and T is the temperature of the isothermal treatment ( ${}^{\circ}C$ ).

Likewise, a similar equation can be used to relate the inactivation D value with the HPTP pressure, for a fixed temperature, or room temperature HPP. The pressure coefficient, or  $z_P$  value (MPa), can also be estimated as follows (Equation 10.4):

$$\log \left(\frac{D}{D_{Tref}}\right) = \frac{P_{ref} - P}{z_P}$$
(10.4)

In the same way, the Weibull model can also relate the inactivation rate parameter (b value) to environmental variables, particularly temperature, and it can be used to predict the parameter value outside the range of variables tested (Evelyn and Silva 2015a-c, 2016a,b; Evelyn et al. 2016), ideally by validating the secondary model used and understanding the fundamental mechanisms involved.

The polynomial model or response surface model (RSM) is another secondary model that has been developed to predict the effect of multiple environmental factors on the inactivation parameters (Ross and Dalgaard 2004). Second-order polynomial equations are generally used, involving first-order, second-order (quadratic), and interaction terms, as shown in Equation 10.5 (Pérez-Rodriguez and Valero 2013):

$$Y = B_0 + \sum_{i=1}^{n} B_i X_i + \sum_{i=1}^{n} B_{ii} X_i^2 + \sum_{j\neq 1}^{n} B_{ij} X_i X_j + \varepsilon$$
 (10.5)

where Y is the predicted response (microbial log survivors);  $B_0$ ,  $B_i$ ,  $B_i$ , and  $B_0$  are the estimated regression coefficients;  $X_i$  and  $X_l$  are the

independent variables (environmental factors); and a is the error term. Pressure, temperature, and pressure holding time are three main variables frequently investigated under HPTP treatments. RSM can be graphically translated; thus, food operators can find the operating conditions that optimize the response. In Sections 10.4 and 10.5, the effects of HPP and HPTP on the main foodborne sporeforming and vegetative pathogens in foods and their kinetic models are reviewed.

# 10.4 HPTP and HPP Inactivation of Pathogenic Spores in Foods

Overall, spores show great resistance to inactivation requiring the combination of high pressure with thermal processing (HPTP). The genus Clostridium has the greatest degree of resistance, followed by Bacillus, and then lastly, vegetative cells, in which the inactivation is achieved with relatively mild process conditions (see Section 10.5). Simple nonlinear models were used to describe the spore inactivation after HPTP, whereas the first-order kinetic model was frequently applied for the inactivation of vegetative cells after HPP or HPTP.

#### 10.4.1 Log Reductions

Tables 10.1 through 10.3 show the spore log reductions obtained for *C. botulinum*, *C. perfringens*, *B. cereus*, and other *Bacillus* spores in food products after high pressure in the range of 345–827 MPa, combined with temperatures of 25°C–86°C. HPTP at 827 MPa and 84°C for 15 min for *C. botulinum* and HPP at 600 MPa and 75°C for 15 min for *C. perfringens* were not sufficient to inactivate some strains of these spores (≤2 log), indicating high resistance to the HPTP treatments (Table 10.1). With the exception of *C. botulinum* strains ATCC 19397, ATCC 25765, and KAP8-B with >5.5 log after ≥600 MPa and ≥80°C for 16 min (Margosch et al. 2004a; Reddy et al. 2006), all others reported modest to almost no clostridial spore reductions in foods (0.4–4.1 log) after high pressures at 345–827 MPh combined with temperatures of 60°C–86°C for 5–16 min (Kalchayanand et al. 2003; Margosch et al. 2004a; Reddy et al. 2003, 2006; Evelyn and Silva 2016a).

Regarding Bacillus, HPTP in the range of 500–600 MPa and temperatures of 60°C–85°C between 1 and 17 min had been used (Tables 10.2 and 10.3). Van Opstal et al. (2004) reported generally similar spore inactivation (>5 log) for four B. cereus strains in milk after 500 MPa, 60°C, and 15 min (Table 10.2). Evelyn and Silva (2015b) obtained 3–4 log reductions of two strains of B. cereus spores in skim milk after 600 MPa, 70°C, and 15 min (Table 10.2). Scurrah et al. (2006) obtained =4 log for five B. cereus strains also in skim milk after 600 MPa, 72°C (initial temperature), and 1 min, with the

**TABLE 10.1** 

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Spores	Strains	Food Products	Ħ	Pressure (MPa)	Average Temperature (°C)	Time (min)	Log Reduction	References
Clostridium botulinum								
Proteolytic type A	62-A	Crabmoat blend	.Ju	827	98	15	2.7	Reddy et al. 2003
	BS-A						3.0	
Norproteolytic type B	28	Crabmeat blend	72-7.4	772	78	15	0.10	Reddy et al. 2006
	173						1.6	
	KAP9-B						2.0	
	KAP8-B						ir) ir)	
nt	TMW 2.357	Mashed carnot	3.2	009	80*	16	1.2	Margosch et al. 2004a
	TMW 2,356						2.6	
	TMW 2.359						26	
	TMW 2.358						4.1	
	ATCC 19397						>5.5	
	ATCC 25765						5.5	
Clostridium perfringeres								
	NZRM 2621 (ATCC 12917)	Boef slurry	6.5	009	73	15	1.5	Evelyn and Silva 2016a
	NZRM 898 (ATCC 14809)						20	
	1027	Roast beef	nr	35	09	ic	0.4	Kalchayanand

Note: nr, not reported.

Inactivation of Bacillus cereus Spores in Foods by HPTP and HPP Alone **TABLE 10.2** 

Strains	Food	Hd	Pressure (MPa)	Temperature (°C)	Time (min)	Log Reduction	References
ATCC 9818	Cooked rice	0'9	6000	88	17	7.0	Daryaci et al. 2013
NZ4 (NCTC 8035)	Skim milk	ııı	009	724	1	4.1	Scurrah et al. 2006
NZ6						4.3	
NZ3/NZ 3/NZ7						4.4	
FRR 82603						6.1	
NZRM 984 (ATCC 11778	Skim milk	m	909	8	15	3.0	Evelyn and Silva 2015b
ICMP 12442 (ATCC 9139						5.5	
As 1,1846	Milk buffer	2,0	95	77	17	0.0	Ju et al. 2008
LMG 6910 (ATCC 7004)	Milk	6.7	200	99	13	5.4	Van Opstal et al. 2004
INRAAV P215						5.6	
INRAAV Z4222						3.6	
INRAAV TZ415						9.9	
2	Pork slurry	nr	009	ĸ	10	47.0	Shigehisa et al. 1991
NCHB 578	Milk	In	400	KT	15	40.5	McClements et al. 2001
NCH8 1031						<0.5	
ATCC 9139	Cheese	it)	400	¥	151	40.5	Lopez-Pedemonte et al.

Note: RE, room temperature HPP; nr, not reported.

A Initial temperature before compression.

Species	Strains	Food Products	ьH	Pressure (MPa)	Temperatune <sup>a</sup> (°C)	Time (min)	Log Reduction	References
HPTP								
Bacillus licheniformis	TMW 2.492	Mashed	e.	009	809	9.	>7.0	Margosch et al. 2004b
B. licheniformis	NZ 23/Werriboe 260 NZ 24/NZ 25 FRR B2653 (ATCC 9789)	Skim milk	2	009	724	77.1	1.6 2.0 2.6	Scurrah et al. 2006
	NZ 21 NZ 21(NCTC 6346) Werriboe 229						334	
	Werribee 207						43	
Bacillus punulus	NZ 33	Skim milk	ın	009	724		1.8	Scurrah et al. 2006
	NZ 32 (NCTC 10327)						2.9	
	NZ 27						3.5	
	NZ 31						3.7	
	NZ 29						4.3	
	NZ 28						45	

Note: nr, not reported.
\*Initial temperature before compression.

exception of FRR B2603 with 6 log (Table 10.2). These authors also found large differences in the resistance of seven strains of B. licheniformis (1.6–4.3 log) and six strains of B. pumilus (1.8–4.7 log) spores in skim milk after the same treatment (Table 10.3). Margosch et al. (2004b) reported >7 log for B. licheniformis spores in mashed carrot. These results suggest that species, strain, and food play significant roles in spore resistance to HPTP; thus, investigating the most resistant spores for each species–strain–food combination is needed to ensure food safety and quality. HPTP at  $\geq$ 600 MPa and  $\geq$ 85°C for  $\geq$ 4 min seems to be needed to achieve  $\geq$ 7 log inactivation of B. cereus spores in cooked rice (Daryaei et al. 2013). HPP treatments (400–600 MPa) at room temperatures ( $\leq$ 30°C) for 10–15 min generally showed a negligible effect on Bacillus cereus spores (Table 10.2).

#### 10.4.2 Kinetic Models

The Clostridium and Bacillus spore inactivation in foods after HPTP was nonlinear; thus, the Weibull model was reported (Table 10.4). For 600 MPa processes at 70°C–75°C, the Weibull shape factors (n) for C. perfringens and B. cereus spores were between 0.39 and 0.74, indicating that the log survival curves have upward concavity with more pronounced tailings, as processing times increase in some cases (Evelyn and Silva 2015b, 2016a). Response surface methodology was also used to investigate the effects of pressure, temperature, and time on spore inactivation, and to predict the processing conditions to achieve a desired log reduction of spores. For example, for a 6 log cycle reduction on B. cereus in milk buffer, HPTP process parameters of at least 540 MPa and 71°C and a holding time of 16.8 min were required, according Ju et al. (2008).

# 10.5 HPTP and HPP Inactivation of Vegetative Pathogens in Foods

#### 10.5.1 Log Reductions

In the studies of foodborne vegetative pathogens, with the exception of García-Graells et al. (1999) with resistant mutant E. coli strains (LMM 1020, LMM 1030, and LMM 1010) in skim milk, HPTP (345-600 MPa, 5-15 min) at >50°C (initial food temperature) generally resulted in large viability losses (5.5 to >8.0 log) in the food products (Patterson and Kilpatrick 1998; Gervilla et al. 1999; Ponce et al. 1998; Alpas and Bozoglu 2000; Bayındırlı et al. 2006) (Tables 10.5 through 10.9). S. aureus appeared to have the highest resistance to the treatments among the foodborne vegetative species reported (Table 10.5), followed by E. coli (Tables 10.5 and 10.6), and then L. monocytogenes and

TABLE 10.4
Modeling the Microbial Spore Inactivation in Foods after HPTP

	FoodProducts	Hd	Model	Pressure (MPa)	Average Temperature (°C)	Time (min)	Model Parameters/ Equations*	References
Clostridium perfringens	NIS.							
NZRM 2621 (ATCC 12917)	Beef slurry	6.5	Weibull	009	75	ŧ	b = 0.20, n = 0.74	Evelyn and Silva 2016a
NZRM 898 (ATCC 14809)							b = 0.68, n = 0.39	
Bacillus cereus								
NZRM 984 (ATCC 11778)	Skim milk	m	Weibull	000	20	1	b = 0.55, $n = 0.59$	Evelyn and Silva 2015b
ICMP 12442 (ATCC 9139)							b = 0.67, $n = 0.57$	
As 1.1846	Milk	2.0	Second-degree	400-600	08-09	10-20	Y = 5.42 + 1.54P + 0.30T + 0.25t	Ju et.al, 2008
	Buffer		polynomial (RSM)				-0.11P <sup>2</sup> + 0.17T <sup>2</sup> - 0.23F - 0.23Pt	

Note: nr, not reported.

\*D and n are the Weibull scale and shape factors (Equation 10.2), respectively; Y, P, T, and t are the log reductions and pressure, temperature, and holding time variables of the RSM polynomial equation (Equation 10.5), respectively.

TABLE 10.5 Inactivation of Staphylococcus aureus in Foods by HPTP and HPP Alone

Strains	Food Products	Hd	Pressure (MPa)	Average Temperture (°C)	Time (min)	Log Reduction	References
NCTC 10652	Poultry ment	nr	009	30	52	6.0	Patterson and Kilpatrick 1998
ATCC 13563)	UHT milk	III.	900	50%	10	6.0	
39CT 534	Ovine milk	6.7	900	90	in.	>7.0	Gervilla et al. 1999
NCTC 4163)							
585	Milk	29	35	30*	un.	50	Alpas and Bozoglu 2000
99.	Milk					>8.0	
ut	Dry-cured ham Cooked ham Maninated band	na na	009	KT	0	0.6 1.1	Hugas et al. 2002
ATCC 25923	Pork slurry	ın	009	KT	10	09	Shigehisa et al. 1991
VCTC 10652	Milk	Ħ	009	RT	13	2.0	Patterson et al. 1995
(ATCC 13565) ATCC 6538	Poultry meat Cheese slurry	52-54	009	кт	92	30	O'Reilly et al. 2000

Note: RT, room temperature HPP; nr, not reported; UHT, ultrahigh temperature, \*Initial temperature before compression.

TABLE 10.6
Inactivation of Escherichia coli in Dairy and Poultry Foods by HPTP and HPP Alone

	Strains	Food Products	Hd	Pressure (MPa)	Average Temperature (°C)	Time (min)	Log Reduction	References
K12	LMM 1020	Skim milk	9/9	920	90	12	2.4	Garcia-Graells et al. 1999
K12	LMM 1030						24	
K12	LMM 1010						4.7	
K12	MG 1655						2.0	
	(ATCC 47076)							
Ţ	CECT 405	Liquid whole egg	8.0	450	50	10	5.5	Ponce et al. 1998
	(ATCC 10536)							
2H1/51/0		UHT milk	INT	200	305	15	8.0	Patterson and Kilpatrick 1998
		Poultry meat					7.5	
7H:5210	933	Milk	6.7	345	100	ur)	>8.0	Alpas and Bozoglu 2000
	126						>8.0	
O157:H7	NCTC 12079	Milk	III	009	RT	13	1.5	Patterson et al. 1995
		Poultry meat					3.0	
K12	ATCC 29425	Cheese slurry	52-54	200	RT	20	0.9<	O'Reilly et al. 2000
1	ATOC 25922	Pork shurry	nr	400-500	RT	10	>6.0	Shigehisa et al. 1991
T	CECT 405	Fresh goat cheese	6.5	450-500	RT	W).	-8.5	Capellas et al. 1996
	(ATCC 1083s)							25

Note: RT, room temperature HPP, nr, not reported; UHT, ultrahigh temperature. \*Initial temperature before compression.

(Coutinsed)

TABLE 10.7
Inactivation of Escherichia coli in Fruit Juices by HPTP and HPP Alone

	Strains	Fruit Juices	Hď	Pressure (MPa)	Average Temperature (°C)	Time (min)	Log Reduction	References
O157:H7	126	Orange juice	3.8	345	Ř	ın	>8.0	Alpas and Bozoglu 2000
	933						>8.0	
Q1573.H7	933	Apricot juice	3.8	350	+0+	in	>8.0	Bayindirle et al. 2006
		Orange juice	30,00				>80	
		Sour cherry juice	3.3				>8.0	
		Apple Juice	33				>8.0	
O157:H7	SEA 13B88	Apple juice	3,7	615	RT	ci	0.4	Too et al. 2001
	ATCC 43895, 932*	Orange juice	37				2.2	
		Carrot juice	6.2				6.4	
		Grapefruit juice	3.0				8.3	
7H:72H7	NCTC 12079	Orange juice	3445	980	RT	in	>7.0	Linton et al. 1999
0157:H7	ATCC:43894	Mango juice	5	280	RT	in	>8.0	Hinemath and Ramaswamy 2012
7H:72HO	C9490	Orange juice	3.8	900	KT	in	>7.0	Jordan et al. 2001
		Apple juice	en eo				>7.0	
		Tomato juice	4.1				>7.0	
2H2510	TIT.	Orange juice	3.7	230	M	33	5.0	Noma et al. 2004
		Apple juice	3.8				>7.0	
K12	LMM 1010	Mango juice	4.0	900	RT	64	>5.0	Garcia-Graells et al. 1998

TABLE 10.7 (CONTINUED)

Inactivation of Escherichia cali in Fruit Juices by HPTP and HPP Alone

Strains	Fruit Juices	Hd	Pressure (MPa)	Average Temperature (°C)	Time (min)	Log Reduction	References
Resistant mutant LMM 1010	Apple pieces in 24º Brix	10	009	KT	10	>6.0	Vencammen et al. 2012
Resistant mutant ATCC 11775	GLUCOSE symp Orange paice	3,4	4114	KT	0,03	27,0	Guerrero-Beltrán et al.
ATCC 11775	Mango nectar	3.6	414	RT	1	>8.0	Bernvidez-Aguirre
ATCC 11775	Pineapple juice	8,5	300	RT	iń	1.0	Buznil et al. 2008
ATCC 11775	Kiwifrait juice Pear nectar	2 7	241	KI	173	4.0 4.0	Guerrero-Beltrán et al.
ATCC 25922	Cashew apple juice	7	400	R	n	6.5	2011b Lambas et al. 2008

Note: RT, room temperature HPP; ru, not reported.
\*Initial temperature before compression.
\*Cocktail of strains.

TABLE 10.8
Inactivation of Listeria monocylogenes in Foods by HPTP and HPP Alone

				Initial			
	Food Products	Hd	Pressure (MPa)	Temperature (°C)	Time (min)	Log Reduction	References
CA	Milk	29	55	05	ıs	>80	Alpas and Bozoglu 2000
Chio2						>8.0	
nt	Goat cheese	III	200	RT	ıń	>5.6	Gallot Lavallee 1998
NCTC 11994	Milk	m	375	RT	13	0.5	Patterson et al. 1995
(DSM 15675)	Poultry meat					2.0	
Scott A	UHT milk	63	340	RT	20	1.5	Styles et al. 1991
	Raw milk					2.0	

Note: RT, room temperature HPP (for HPTP, the temperature was the initial one before compression); nr, not reported; UHT, ultrahigh tomperature.

TABLE 10.9
Inactivation of Salmonella in Foods by HFTP and HPP Alone

Species	Strains	Food Products	H	Pressure (MPa)	Initial Temperature* (°C)	Time (min)	Log Reduction	References
Salmondla enteritidis	FDA	Milk	2	345	95	ın	>8.0	Alpas and Hozoglu 2000
Salmmella typkimurium	E 21274	Milk	279	345	20	in	>8.0	Alpas nd Bozoglu 2000
S. enteritidis	ıhr	Liquid whole egg	8.0	450	90	15	>7.8	Ponce et al. 1999
					RT	15	5.1	
S. enteritidis	SE-4	Liquid whole egg	ш	400	KT	10	6.0	Barr et al. 2008
S. typhimurium	ATCC 7136	Strained chicken baby food	Ħ	340	RT	in the	2.0	Metrick et al. 1989
S. typhimurium	ATCC 14028	Pork slurry	III	400	RT	10	6.5	Shigehisa et al. 1991
Salmundla senftenberg	775 W	Strained chicken haby food	nt.	340	RT	13	2.5	Metrick et al. 1989

Note: RT, room temperature (20°C-25°C); nr, not reported. \*Initial temperature before compression.

Salmonella spp. (Tables 10.8 and 10.9). Thus, the minimum processing conditions of 600 MPa and 15 min with an initial temperature of 50°C before compression enabled large reductions of *S. aureus*, and should actually be used to ensure the HPP inactivation of the most resistant vegetative cells in foods. Vibrio spp. and other vegetative pathogens, such as C. jejuni, Y. enterocolitica, C. freundii, and A. hydrophila, generally required milder processing conditions (170–586 MPa, 0–20 min, and room temperature) to achieve the same viability losses, >5.0 to 8.0 log (Tables 10.10 and 10.11).

Similar inactivation of vegetative pathogens by room temperature HPP between pathogenic strains belonging to the same species has also been observed in most of the past works of many authors compiled. For example, the following ranges of processing conditions were recorded: 400-500 MPa and 5-20 min for E. coli in cheese and pork with >6.0 to >8.5 log (Shigehisa et al. 1991; Capellas et al. 1996; O'Reilly et al. 2000) (Table 10.6), 500-550 MPa and 2-5 min for E. coli O157:H7 in fruit juices with >5.0 to >8.0 log (Garcia-Graells et al. 1998; Linton et al. 1999; Jordan et al. 2001; Hiremath and Ramaswamy 2012) (Table 10.7), 340-375 MPa and 15-20 min for L. monocytogenes in milk and meat with 0.5-2.0 log (Styles et al. 1991; Patterson et al. 1995), and 500 MPa and 5 min process resulted in >5.6 log (Gallot Lavallee 1998) (Table 10.8); 400–450 MPa and 15 min for Salmonella spp. in liquid whole egg with 5.1 to >7.8 log (Ponce et al. 1999; Bari et al. 2008) (Table 10.9), 586 MPa and 0 min for Vibrio spp. in oyster with >5.5 to >6.5 log (Koo et al. 2006) (Table 10.10), and 375-400 MPa and 10 min for C. jejuni and Y. enterocolitica in meat products with >6.0-8.0 log (Shigehisa et al. 1991; Solomon and Hoover

2004) (Table 10.11). However, on the other hand, few researchers observed large resistance differences among *S. aureus* in the food products under the same conditions of room temperature HPP, for example, log reductions in the range of 0.6–6.0 log for *S. aureus* after 600 MPa for 6–20 min (Shigehisa et al. 1991; Patterson et al. 1995; O'Reilly et al. 2000; Hugas et al. 2002) (Table 10.5), which poses a significant concern of this most resistant vegetative pathogen. Due to a few outbreaks registered in raw unpasteurized acidic fruit juices, *E. coli* inactivation by HPP and HPTP was also investigated in this class of beverages, since it might grow in this environment. Some researchers have shown that different fruit juices resulted in large variations in the room temperature HPP resistance of *E. coli* O157:H7 at the same processing conditions (Teo et al. 2001; Buzrul et al. 2008). However, Bayındırlı et al. (2006) worked with a cocktail of resistant *E. coli* strains and showed that 350 MPa HPTP with an initial temperature of 40°C for 5 min achieved very high reduction (>8.0 log) of the pathogenic *E. coli* in four fruit juices (Table 10.7).

#### 10.5.2 Kinetic Models

Until 2012, modeling studies of vegetative pathogens after HPP in various food products were carried out using first-order kinetics (Table 10.12). However, note that although first-order kinetic parameters were determined

**TABLE 10.10** 

Inactivation of Vibrio in Oysters and Clam Juice by Room Temperature HPP

Species	Strains	Food Products	Ħ	Pressure (MPa)	Time (min)	Log Reduction	Reference
Utheto confusitions	MO-624	Ocean	à	3895	2	* 4	Kon or at 2007
V milaifean	NAT'T ADS	Outto		300	0 0	2.0	Vous of With
v. chaingaches	CON 1700	Ties Co		000	60	000	Text at. 2012
V. tradnifficas	JL.	Homogenized oyster	nr	275	es	>2.0	Cook 2003
Vibrio parahaemolyticus	TX-2103, serotype O3:K6	Oyster	Ħ	386	0	5.50	Koo et al. 200
V. parahaemolyticus	10 different strains	Homogenized oyster	III	300	es	>6.0	Cook 2003
V. paralmennolyticus	ATCC 43996	Oyster	IN	300	ri	2.70	Ye et al. 2012
V. purahammulyticus	1-3765-1	Clam juice	in Pi	0/21	10	>5.0	Styles et al. 19

**TABLE 10.11** 

Inactivation of Other Pathogenic Vegetative Cells in Meat Products by Room Temperature HPP

Vegetative Cells	Strain	Meat Products	ЬH	Pressure (MPa)	Time (min)	Log Reduction	References
Streptococcus faeculis	ш	Pork slurry	nt	9009	10	>6.0	Shigohisa et al. 1991
Campylobacter jejuni	II	Pork shurry	'n	400	10	0'9<	Shigehisa et al. 1997
C. jejuni	ATCC 35921	Chicken pume	THE	400	10	0.8	Solomon and Hoover 2004
		Milk	nt	375	10	8.0	
Yersinia enteroculitica	Ш	Pork slurry	nr	400	10	0.04	Shigehisa et al. 1991
Y. enterocolitica	9610	Ground pork	0.9	304	15	>7.0	Ellenberg and Hoover 1999
Citrobacter fremulii	JU.	Minced beef	5.6-5.8	300	20	>6.0	Carlez et al. 1993
Aeronomas hydrophila	ATCC 7965	Ground pork	0.0	283	15	:079-	Ellenberg and Hoover 1999

by the authors, most charts shown in the publications demonstrated a noninear log inactivation behavior (Metrick et al. 1989; Styles et al. 1991; Gervilla et al. 1999; Ponce et al. 1999; O'Reilly et al. 2000; Koo et al. 2006). The fit-ting carried out with the conventional first-order linear model simplified the analysis and comparison with the available results from other authors in past literature. S. aureus was confirmed to have the highest resistance ( $D_{100} = 16.7$ min in milk) among other species (Gervilla et al. 1999), whereas

V. parahaemolyticus was shown to be the least resistant vegetative pathogen, with a D<sub>136</sub> value of 5.6 min in clam juice (Styles et al. 1991).

Using a secondary model parameter of the first-order kinetics, ze values of 204 for E. coli and 359 MPa for S. aureus indicate low microbial susceptibility to small pressure changes (Table 10.12) (O'Reilly et al. 2000; Hiremath and Ramaswamy 2012). From this information, the estimated Dam values for 5 D of S. aureus and E. coli are 28.9 and 0.9 min, respectively.

## 10.6 Future Perspectives

High-pressure-treated foods have been categorized "novel foods" in countries in the European Union (EU) and in Canada, due to the capability to retain many of the qualities of the fresh food product, which would otherwise be altered by conventional thermal processing. Standard HPP treatments (400-600 MPa) can achieve 5.0-6.0 or more log reductions of most vegeta-tive pathogens since they are susceptible to pressure at ambient temperature. However, a few studies demonstrated higher resistance of a few strains of microbial pathogens in the vegetative form in certain foods. Although >6.0 log reductions of E. coli are registered at room temperature HPP, Patterson et al. (1995) could only achieve a low level of inactivation (1.5-3.0 log) in milk and poultry meat after 600 MPa and 15 min, and Teo et al. (2001) has shown how difficult it is to inactivate a cocktail of E. coli strains in apple and orange juices. The survivors of E. coli may grow at low temperature during distribution, posing a human safety concern. Thus, HPP at a temperature of around >50°C is a possible solution to ensure complete inactivation of E. coli. Furthermore, more research is needed to obtain reliable inactivation mod- elsable to predict the nonlinear behavior of vegetative pathogens in HPPtreated foods at different pressure and temperature conditions.

HPP alone is not effective when the pasteurization aim is microbial spores, and HPTP at moderate temperatures or higher is required for spore inactivation. In addition to the food matrix being treated, the HPTP treatment conditions (pressure, average temperature, and processing time during the constant pressure phase of the HPP cycle) are important factors affecting spore inactivation. Some species of Clostridium and Bacillus are resistant sporeformers of public health concern that can only

**TABLE 10.12** 

First-Order Kinetic Parameters for the Inactivation of Pathogenic Vegetative Microorganisms in Food Products after Room Temperature HPP

					First-Order Parameters	Parameters	
Pathogen	Strain	Food Products	Hď	Pressure, P (MPa)	Dr Value (min)	zr Value (MPa)	References
Staphylococcus aureus	CECT 534 (NCTC 4163)	Ovine milk	2'9	450	16.7	11	Gervilla et al. 1999
S. aurens	ATCC 6538	Cheese slurry	52-54	400	20.0	350	O'Reilly et al. 2000
Escherichia coli	CECT 405 (ATCC 10536)	Liquid whole egg.	8.0	400	14.1	n n	Ponce et al. 1998
E. coll O157:H7	ATCC 43804	Mango juice	4.5	450	0.72	204	Hiremath and Ramassamy 2012
E. oult K12	ATCC 29425	Cheese slurry	5.2-5.4	350	19.0	ш	O'Reilly et al. 2000
Listeria monocytogenes	Scott A	UHT milk	6.5	340	13.2	ш	Styles et al. 1991
Salmonella enteritidis		Liquid whole egg	8.0	400	8.8	nr	Pomee et al., 1999
Salmonella typkimurium	ATCC 7136	Strained chicken baby food	ž	340	7.6	III	Metrick et al. 1989
Salmondla senfunberg	775 W	Strained chicken baby food	Ħ	340	7.1	nt	Metrick et al. 1989
Vibrio parahaemolyticus	TX-2103 serotype O3:K6	Oyster	ä	345	2.0	н	Koo et al. 2006
V. parabaemolyticus	T-3765-1	Clars juice	7.5	136	5.6	nr	Styles et al. 1991

Note: Dx and z values are the first-order kinetic parameters (Equations 10.1 and 10.2). Initial temperature, 525°C; m, not reported, UHT, ultrahigh temperature. Note that although first-order kinetic parameters were determined by the authors, charts demonstrated a log nonlinear inactivation with the time in most of the studies reviewed.

be inactivated at high pressures and temperatures, not yet achievable by commercial HPP units. The nonlinear trend observed for spore inactivation by HPTP (Evelyn and Silva 2015b, 2016a; Ju et al. 2008) should be followed up due to an increase of microbial spore resistance with processing time. More research is still required to standardize HPTP pasteurization conditions (process criteria, pressure—time—temperature combinations, etc.) in various food products to successfully introduce HPTP in the food industry.

As heat has detrimental effects on food quality, an alternative option is the simultaneous or sequential application of HPP and other nonthermal food preservation technologies to enhance the lethal effect of HPP (e.g., irradiation was investigated by Crawford et al. [1996]). Furthermore, the cold storage conditions should be topped up with other hurdles, such as modified atmospheres and the use of preservatives, to inhibit or slow down the growth of resistant sporeformers in HPP pasteurized food products.

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